FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 126599

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP04/010207

| | C | ONCERNING A FILING UN | 10/564988 | | | | | | | |
|---|---|---|--|---|--|--|--|--|--|--|
| | | IONAL APPLICATION NO. 004/010207 | INTERNATIONAL FILING DATE July 16, 2004 | PRIORITY DATE CLAIMED July 18, 2003 | | | | | | |
| TITLE OF INVENTION METHOD FOR DEWATERING WATER-CONTAINING COAL | | | | | | | | | | |
| APPLICANT FOR DO/EO/US Yukuo KATAYAMA | | | | | | | | | | |
| Appli | cant l | herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| b. 🛮 has been communicated by the International Bureau. | | | | | | | | | | |
| c. | | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. 🛛 is attached hereto. | | | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| c. The International Application was filed in English. | | | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| į | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | |
| | | c. \square have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items | s 11 t | o 20 below concern document(s) | or information included: | | | | | | | |
| 11. | | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | \boxtimes | An assignment document for record | ding. A separate cover sheet in co | mpliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | \boxtimes | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. | \boxtimes | International Search Report; Power of Attorney Transmittal. | | | | | | | | |
| e. mili in the second | | | | | | | | | | |

| U.S. APPLICATION NO. ((Phown, New U.S. National Stage of PCT/JP04/010207 | 5.64.988 | ATTORNEY'S DOCKET NUMBER 126599 | | | | | | | |
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| 21. The following feet | s are submitted: | CALCULATIONS | PTO USE ONLY | | | | | | |
| 21. 23 11.0 10 | o are each miles. | | | | 110 002 01121 | | | | |
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| BASIC NATIONAL FEE (3 | 7 CFR 1.492(a)); | | \$ 300.00 | \$300.00 | | | | | |
| SEARCH FEE (37 CFR 1.4 | | | • | \$400.00 | | | | | |
| International preliminary ex the USPTO as IPEA or IS/ industrial applicability for al national stage | amination report or w A and favorable as to Il claims presented in | | | | | | | | |
| International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00 | | | | | | | | | |
| International search report the search fee is paid | | | | | | | | | |
| All situations not provided t | or above | | \$ 500.00 | , | | | | | |
| EXAMINATION FEE (37 C | | | | \$200.00 | | | | | |
| International preliminary ex the USPTO as IPEA or ISI industrial applicability for a national stage | amination report or w A and favorable as to Il claims presented in | | | | | | | | |
| Surcharge of \$130.00 for fu | | | | \$ | | | | | |
| earliest claimed priority dat | | | | * | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (- 100) | ÷ 50 | = †0 | x 250 = | \$ | | | | | |
| tround up to next integer | er | | I | - · · · · · · · · · · · · · · · · · · · | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | |
| TOTAL CLAIMS | 15 - 20 | = 0 | x 50.00 = | \$ | | | | | |
| INDEPENDENT CLAIMS | 1 - 3 | = 0 | x 200.00 = | \$ | | | | | |
| MULTIPLE DEPENDENT | CLAIM(S)(if applicable | e) | + 360.00 = | \$ | | | | | |
| | | = \$900.00 | 1 | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | | | | |
| | | | SUBTOTAL : | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE = \$900.00 | | | | | | | | | |
| | | | | | | | | | |
| accompanied by an approp | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | |
| | <u></u> | = \$900.00 | | | | | | | |
| | | Amount to be | 1 | | | | | | |
| 1 | | | | refunded: | \$ | | | | |
| l | | | | charged: | \$ | | | | |
| a. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFB 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| OLIFF & BERRIDGE, PLC Customer Number: 25944 NAME: William P. Berridge | | | | | | | | | |
| | | | REGISTRA [*] | TION NUMBER: 30,0 | 124 | | | | |
| Date <u>January 18, 200</u> | <u>6</u> | | | | / | | | | |
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